



Please return completed form to randy@verlinfinancial.com

INITIAL SURVEY*

This survey must be completed and returned prior to scheduling an Orientation Meeting.

Name _____ Nickname _____ Age _____
First Middle Initial Last

Cell Phone _____ Email _____

Employment Status? Retired Retiring Within 1 Year Retired Working P/T Employed Unemployed

If you did/do work, where did/do you work? _____ Occupation _____

Work Phone _____ What would you like to drink when you visit us at the office? _____

Are your parents still living? Yes No Do you have children? Yes No

Spouse/DP _____ Nickname _____ Age _____
First Middle Initial Last

Cell Phone # _____ Email _____

Employment Status? Retired Retiring Within 1 Year Retired Working P/T Employed Unemployed

If you did/do work, where did/do you work? _____ Occupation _____

Work Phone # _____ What would you like to drink when you visit us at the office? _____

Are your parents still living? Yes No Do you have children? Same Yes No

Home Address _____
Street City ST ZIP

Phone _____ N/A Who referred you? _____

What did they say about me or my firm? _____

Have you ever worked with an advisor in the financial industry before? Yes No

If yes, what was that like? _____

How would you summarize your current risk level? 1 being "no risk" and 10 being "high risk".

1 2 3 4 5 6 7 8 9 10

How would you best describe your reaction to a decline in your account value, assuming a diversified portfolio: (Circle One Letter)

- A) Actually, I/we do not want any risk and do not want to experience any decline in values.
- B) I/We understand that it is normal and customary for negative returns to occur from time to time. I/We would likely stay the course.
- C) I/We understand that it is normal and customary for negative returns to occur from time to time. I/We would consider taking advantage of a potentially undervalued market and increase our risk.

What percentage of your total investments are you considering having us manage? No investments 25% 50% 100%

Approximate investment value? _____ Approximate annual income? _____

Other Information _____

*This form collects data for informational purposes only and does not supersede any data or information reported on official Cambridge forms.

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